

UNITED STATES BANKRUPTCY COURT  
SOUTHERN DISTRICT OF NEW YORK

In re

LEHMAN BROTHERS HOLDINGS INC., *et al.*,  
Debtors.

x

: Chapter 11 Case No.

:  
: 08-13555 (SCC)  
: (Jointly Administered)

x

: Ref. Docket Nos. 44392, 44629,  
44633, 44666, 44667, 44669, 44676

**AFFIDAVIT OF SERVICE**

STATE OF NEW YORK )  
                      )  
                      ) ss.:  
COUNTY OF NEW YORK )

LAUREN RODRIGUEZ, being duly sworn, deposes and says:

1. I am employed by Epiq Bankruptcy Solutions, LLC, located at 757 Third Avenue, New York, New York 10017. I am over the age of eighteen years and am not a party to the above-captioned action.
2. On June 27, 2014, I caused to be served the "Notice of Transfer of Claim Pursuant to F.R.B.P.3001 (E) (2) or (4)," a sample of which is attached hereto as Exhibit A, by causing true and correct copies to be: 1) personalized with the transferee, transferor and claim information for the above-referenced docket numbers, 2) enclosed securely in separate postage pre-paid envelopes and 3) delivered by first-class mail to the parties listed on the attached Exhibit B.
3. All envelopes utilized in the service of the foregoing contained the following legend: "LEGAL DOCUMENTS ENCLOSED. PLEASE DIRECT TO ATTENTION OF ADDRESSEE, PRESIDENT OR LEGAL DEPARTMENT."

/s/ Lauren Rodriguez  
Lauren Rodriguez

Sworn to before me this  
2<sup>nd</sup> day of July, 2014

/s/ Panagiotis Caris

Notary Public, State of New York  
No. 01CA6237515  
Qualified in Queens County  
Commission Expires March 21, 2015

## **EXHIBIT A**

UNITED STATES BANKRUPTCY COURT  
Southern District of New York

In re  
  
LEHMAN BROTHERS HOLDINGS INC., et al.,  
  
Debtors.

Chapter 11 Case No.  
08-13555 (SCC)  
(Jointly Administered)

**NOTICE: FILING OF TRANSFER OF CLAIM PURSUANT TO FEDERAL RULE OF  
BANKRUPTCY PROCEDURE 3001(e)(2) or (4)**

Note: For purposes of this form, **transferor** refers to the claimant who is selling or otherwise assigning its claim, while **transferee** refers to the party who is purchasing or otherwise being assigned the claim.

To: DEUTSCHE BANK AG, LONDON  
TRANSFEROR: BNP PARIBAS SECURITIES CORP  
C/O DEUTSCHE BANK SECURITIES INC.  
ATTN: MATT WEINSTEIN  
60 WALL STREET, 3RD FLOOR  
NEW YORK NY 10005

Please note that your claim # 67346-02 in the above referenced case and in the amount of  
\$17,219,369.00 allowed at \$17,219,369.00 has been transferred (unless previously expunged by court order)

CVI HH INVESTMENTS LP  
TRANSFEROR: DEUTSCHE BANK AG, LONDON  
C/O CARVAL INVESTORS, LLC  
ATTN: TERI SALBERG  
9320 EXCELSIOR BOULEVARD  
HOPKINS MN 55343

No action is required if you do not object to the transfer of your claim. However, **IF YOU OBJECT TO THE TRANSFER OF YOUR CLAIM, YOU MUST, WITHIN 21 DAYS OF THE DATE OF THIS NOTICE, FILE A WRITTEN OBJECTION TO THE TRANSFER WITH:**

UNITED STATES BANKRUPTCY COURT  
Southern District of New York  
One Bowling Green  
New York, NY 10004-1408

Send a copy of your objection to the transferee. Refer to INTERNAL CONTROL NUMBER 44633 in your objection. If you file an objection, a hearing will be scheduled. **IF YOUR OBJECTION IS NOT TIMELY FILED, THE TRANSFEE WILL BE SUBSTITUTED ON OUR RECORDS AS THE CLAIMANT.**

Date: 06/27/2014

Vito Genna, Clerk of Court

/s/ Lauren Rodriguez

By: Epiq Bankruptcy Solutions, LLC  
as claims agent for the debtor(s).

FOR EBS USE ONLY: This notice was mailed to the transferor, transferee, and debtor(s) counsel by first class mail, postage prepaid on June 27, 2014.

**EXHIBIT B**

Name

BANCA FIDEURAM S.p.A.	PIAZZALE GIULIO DOTTET, 31 ROMA 00163 ITALY
BANCO DI DESIO E DELLA BRIANZA SPA	TRANSFEROR: BANCA FIDEURAM S.p.A. ATTN: CARLO NEGOR PIAZZA G. SELLA I BIELLA 13900 ITALY
CITIBANK PRIVATKUNDEN AG & CO. KGAA	VIA ROVAGNATI, 1 DESIO (MB) 20033 ITALY
CITIBANK PRIVATKUNDEN AG & CO. KGAA	PAUL, WEISS, RIEFKIND, WHARTON & GARRISON LLP ATTN: DOUGLAS R. DAVIS 1285 AVENUE OF THE AMERICAS NEW YORK NY 10019-6064
CVT HH INVESTMENTS LP	ATTN: WILHELM HUELSKEN KASERNENSTRASSE 10 DUESSELDORF 40213 GERMANY
DEUTSCHE BANK AG, LONDON	ATTN: LONDON C/O CARVAL INVESTORS, LLC ATTN: TERI SALBERG 9320 EXCELSIOR BOULEVARD HOPKINS MN 55343
DEUTSCHE BANK AG, LONDON	ATTN: DEUTSCHE BANK AG, LONDON C/O CARVAL INVESTORS, LLC ATTN: TERI SALBERG 9320 EXCELSIOR BOULEVARD HOPKINS MN 55343
DEUTSCHE BANK AG, LONDON	ATTN: DEUTSCHE BANK SECURITIES CORP ATTN: MATT WEINSTEIN 60 WALL ST., 3RD FLOOR NEW YORK NY 10005
DEUTSCHE BANK AG, LONDON	ATTN: DEUTSCHE BANK SECURITIES CORP ATTN: MATT WEINSTEIN ; C/O DEUTSCHE BANK SECURITIES INC. 60 WALL ST., 3RD FLOOR NEW YORK NY 10005
HBK MASTER FUND, L.P.	ATTN: MATT WEINSTEIN 60 WALL STREET, 3RD FLOOR NEW YORK NY 10005
ILLIQUIDX LLP	ATTN: ILLIQUIDX LLP C/O HBK SERVICES LLC ATTN: LEGAL 2101 CEDAR SPRINGS ROAD, SUITE 700 DALLAS TX 75201
MERCANTILE DISCOUNT BANK LTD	ATTN: BANCA POPOLARE DEL'EMILIA ROMAGNA S.C. ATTN: MR. CELESTINO AMORE 80 FLEET STREET LONDON EC4Y 1EL UNITED KINGDOM
NEUE AARGAUER BANK AG	ATTN: RBS COUTTS BANK AG 125 MENACHEM BEGIN ROAD HAYOVEL BUILDING TEL AVIV 6701201 ISRAEL
NEUE AARGAUER BANK AG	ATTN: RICHARD LEVIN, JENNIFER BOSAKOVA CRAVATH SWAIN & MOORE LLP 825 8TH AVENUE WORLDWIDE PLAZA NEW YORK NY 10019
NEUE AARGAUER BANK AG	ATTN: RICHARD LEVIN, ESQ. CRAVATH, SWAIN & MOORE LLP 825 8TH AVENUE NEW YORK NY 10019
RBS COUTTS BANK AG	ATTN: RBS COUTTS 1 POSTFACH ZURICH 8022 SWITZERLAND
SANS, REINER MR.	ATTN: CITIBANK PRIVATKUNDEN AG & CO. KGAA KARLSTRASSE 40 FREIBURG 79104 GERMANY
UBS AG	ATTN: BANCO DI DESIO E DELLA BRIANZA SPA ATTN: MR. HUGO KOLLER BAHNHOFSTRASSE 45 ZURICH 8001 SWITZERLAND
UBS AG	ATTN: NEUE AARGAUER BANK AG BAHNHOFSTRASSE 45 ZURICH CH-8001 SWITZERLAND

Total Number of Records Printed

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